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9 ENFORCEMENT OFFICERS, and
POLICE CHIEF PACO BALDERRAMA

10 **UNITED STATES DISTRICT COURT**
11 **EASTERN DISTRICT OF CALIFORNIA**

12 MARTHA ZEPEDA OLIVARES,
13 individually and on behalf of the
ESTATE OF MAXIMILIANO SOSA,
14 JR.; MAXIMILIANO SOSA, SR.,

15 Plaintiffs,

16 v.

17 CITY OF FRESNO, UNKNOWN
LAW ENFORCEMENT OFFICERS,
18 POLICE CHIEF PACO
BALDERRAMA and DOES 1-30,

19 Defendants.
20 _____
21 _____

22 MARIA SOSA, L.S. by and through
guardian ad litem MARIA SOSA, M.S.
23 by and through guardian ad litem
JENNIFER LOPEZ, individually and as
24 successors-in-interest to Maximiliano
Sosa,

25 Plaintiffs,

26 v.

27 CITY OF FRESNO and DOES 1-5,

28 Defendants.

Case No. 1:23-cv-01575-JLT-SAB

**STIPULATION AND JOINT
REQUEST FOR ENTRY OF
PROTECTIVE ORDER RE
CONFIDENTIAL DOCUMENTS**

Trial Date: n/a

TO THE HONORABLE COURT:

By and through their counsel of record in this action, MARTHA ZEPEDA OLIVARES and MAXIMILIANO SOSA, SR. (“plaintiffs”) and defendants CITY OF FRESNO, UNKNOWN LAW ENFORCEMENT OFFICERS, and POLICE CHIEF PACO BALDERRAMA (“Defendants”) – the parties – hereby stipulate for the purpose of jointly requesting that the Honorable Court enter a protective order regarding confidential documents in this matter and pursuant to Federal Rules of Civil Procedure Rules 5.2, 7, and 26, as well as U.S. District Court, Eastern District of California Local Rules 141, 141.1, 143, 230 and/or 251; and any applicable Orders of the Court – as follows:

1. GOOD CAUSE STATEMENT.**1.1. Defendants’ Contentions.**

Defendants contend that there is good cause and a particularized need for a protective order to preserve the interests of confidentiality and privacy in peace officer personnel file records and associated investigative or confidential records for the following reasons.

First, defendants contend that peace officers have a federal privilege of privacy in their personnel file records: a reasonable expectation of privacy therein that is underscored, specified, and arguably heightened by the *Pitchess* protective procedure of California law. *See Sanchez v. Santa Ana Police Dept.*, 936 F.2d 1027, 1033-1034 (9th Cir. 1990); *Hallon v. City of Stockton*, 2012 U.S. Dist. LEXIS 14665, *2-3, 12-13 (E.D. Cal. 2012) (concluding that “while “[f]ederal law applies to privilege based discovery disputes involving federal claims,” the “state privilege law which is consistent with its federal equivalent significantly assists in applying [federal] privilege law to discovery disputes”); *Soto v. City of Concord*, 162 F.R.D. 603, 613 n. 4, 616 (N.D. Cal. 1995) (peace officers have constitutionally-based “privacy rights [that] are not inconsequential” in their police personnel records); *cf.* Cal. Penal Code §§ 832.7, 832.8; Cal. Evid. Code §§ 1040-1047. Defendants further

1 contend that uncontrolled disclosure of such personnel file information can threaten
2 the safety of non-party witnesses, officers, and their families/associates.

3 Second, defendants contend that municipalities and law enforcement agencies
4 have federal deliberative-executive process privilege, federal official information
5 privilege, federal law enforcement privilege, and federal attorney-client privilege
6 and/or attorney work product protection interests in the personnel files of their peace
7 officers – particularly as to those portions of peace officer personnel files that
8 contain critical self-analysis, internal deliberation/decision-making or
9 evaluation/analysis, or communications for the purposes of obtaining or rendering
10 legal advice or analysis – potentially including but not limited to evaluative/
11 analytical portions of Internal Affairs type records or reports, evaluative/analytical
12 portions of supervisory records or reports, and/or reports prepared at the direction of
13 counsel, or for the purpose of obtaining or rendering legal advice. *See Sanchez*, 936
14 F.2d at 1033-1034; *Maricopa Audubon Soc’y v. United States Forest Serv.*, 108 F.3d
15 1089, 1092-1095 (9th Cir. 1997); *Soto v. City of Concord*, 162 F.R.D. 603, 613 n. 4
16 (1995); *Kelly v. City of San Jose*, 114 F.R.D. 654, 668-671 (N.D. Cal. 1987); *Tuite*
17 *v. Henry*, 181 F.R.D. 175, 176-177 (D. D.C. 1998); *Hamstreet v. Duncan*, 2007 U.S.
18 Dist. LEXIS 89702 (D. Or. 2007); *Admiral Ins. Co. v. United States Dist. Ct.*, 881
19 F.2d 1486, 1492, 1495 (9th Cir. 1988). Defendants further contend that such
20 personnel file records are restricted from disclosure by the public entity’s custodian
21 of records pursuant to applicable California law and that uncontrolled release is
22 likely to result in needless intrusion of officer privacy; impairment in the collection
23 of third-party witness information and statements and related legitimate law
24 enforcement investigations/ interests; and a chilling of open and honest discussion
25 regarding and/or investigation into alleged misconduct that can erode a public
26 entity’s ability to identify and/or implement any remedial measures that may be
27 required.

28 Third, defendants contend that, since peace officers do not have the same

1 rights as other private citizens to avoid giving compelled statements, it is contrary to
2 the fundamental principles of fairness to permit uncontrolled release of officers'
3 compelled statements. *See generally Lybarger v. City of Los Angeles*, 40 Cal.3d 822,
4 828-830 (1985); *cf.* U.S. Const., Amend V.

5 Accordingly, defendants contend that, without a protective order preventing
6 such, production of confidential records in the case can and will likely substantially
7 impair defendant public entity's interests in candid self-critical analysis, frank
8 internal deliberations, obtaining candid information from witnesses, preserving the
9 safety of witnesses, preserving the safety of peace officers and peace officers'
10 families and associates, protecting the privacy officers of peace officers, and
11 preventing pending investigations from being detrimentally undermined by
12 publication of private, sensitive, or confidential information – as can and often does
13 result in litigation.

14 1.2. Plaintiffs agree that there is Good Cause for a Protective Order
15 consistent with the terms and provisions of this Stipulation so as to preserve the
16 respective interests of the parties.

17 1.3. The parties jointly contend that there is typically a particularized need
18 for protection as to any medical or psychotherapeutic records and autopsy
19 photographs, because of the privacy interests at stake therein. Because of these
20 sensitive interests, a court order should address these documents rather than a
21 private agreement between the parties.

22 1.4. The parties therefore stipulate that there is Good Cause for, and hereby
23 jointly request that the Honorable Court issue/enter, a Protective Order regarding
24 confidential documents consistent with the terms and provisions of this Stipulation.
25 However, the entry of a Protective Order by the Court pursuant to this Stipulation
26 shall not be construed as any ruling by the Court on the aforementioned legal
27 statements or privilege claims in this section (§ 1), nor shall this section be
28 construed as part of any such Court Order.

1 **A. PURPOSES AND LIMITATIONS.**

2 Disclosure and discovery activity in this action are likely to involve
3 production of confidential, proprietary, or private information for which special
4 protection from public disclosure and from use for any purpose other than
5 prosecuting or defending this litigation would be warranted. Accordingly, the parties
6 hereby stipulate to and petition the court to enter the following Stipulation and an
7 associated Order.

8 The parties acknowledge that this Stipulation and associated Order does not
9 confer blanket protections on all disclosures or responses to discovery and that the
10 protection it affords extends only to the specified information or items that are
11 entitled, under the applicable legal principles, to treatment as confidential.

12 The parties further acknowledge, as set forth below, that this Stipulation and
13 Order creates no entitlement to file confidential information under seal, except to the
14 extent specified herein; Eastern District Local Rules 141, 141.1, 143, and 251 set
15 forth the procedures that must be followed and reflect the standards that will be
16 applied when a party seeks permission from the court to file material under seal.

17 Nothing in this Stipulation or associated Order shall be construed so as to
18 require or mandate that any Party disclose or produce privileged information or
19 records that could be designated as Confidential Documents/Protected Material
20 hereunder.

21 **2. STIPULATION FOR PROTECTIVE ORDER RE CONFIDENTIAL**
22 **RECORDS**

23 **A. DEFINITIONS.**

24 2.1. Party: any party to this action, including all of its officers, directors,
25 employees, agents, consultants, retained experts, house counsel and outside counsel
26 (and/or the support staff thereof).

27 2.2. Disclosure or Discovery Material: all items or information, regardless
28 of the medium or manner generated, stored or maintained (including, among other

things, testimony, transcripts, or tangible things) that are produced – or generated in disclosures or responses to discovery – by any Party in this matter.

2.3. Protected Material/Confidential Information or Items: information (regardless of the medium or how generated, stored, or maintained) or tangible things that qualify for protection under standards developed under Federal Rule of Civil Procedure Rule 26(c) and/or applicable federal privileges. This material includes medical records, psychotherapeutic records, and autopsy photographs. It also includes peace officer personnel records as defined by California Penal Code §§ 832.8, 832.5, 832.7 and the associated case law. It further includes personal identifying information of third party witnesses.

2.4. Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing Party, including a Party that has noticed or subpoenaed and is taking a deposition or comparable testimony.

2.5. Producing Party: a Party or non-party that produces Disclosure or Discovery Material in this action, including a Party that is defending a deposition noticed or subpoenaed by another Party; additionally, for the limited purpose of designating testimony subject to this Stipulation and Order pursuant to section 6.2(b) (*infra*), a “Producing Party” shall also be construed to include a Party that is attending and/or participating in a non-party deposition noticed/subpoenaed by another Party.

2.6. Designating Party: a Party or non-party that designates information or items that it produces in disclosures or in responses to discovery as “CONFIDENTIAL.”

2.7. Protected Material: any Disclosure or Discovery Material that is designated as “CONFIDENTIAL” under the provisions of this Stipulation and Protective Order. The term “Confidential Document” shall be synonymous with the term “Protected Material” for the purposes of this Stipulation and any associated Protective Order.

1 2.8. Outside Counsel: attorneys who are not employees of a Party but who
2 are retained to represent or advise a Party in this action (as well as their support
3 staffs).

4 2.9. House Counsel: attorneys who are employees of a Party (as well as
5 their support staffs).

6 2.10. Counsel (without qualifier): Outside Counsel and House Counsel (as
7 well as their support staffs).

8 2.11. Expert: a person with specialized knowledge or experience in a matter
9 pertinent to the litigation who has been retained by a Party or its counsel to serve as
10 an expert witness or as a consultant in this action and who is not a past or a current
11 employee of a Party and who, at the time of retention, is not anticipated to become
12 an employee of a Party or a competitor of a Party's; as well as any person retained,
13 designated, or disclosed by a Party as an expert pursuant to Federal Rules of Civil
14 Procedure Rule 26(a)(2) or other applicable discovery Rules or statutes.

15 2.12. Professional Vendors: persons or entities that provide litigation support
16 services (e.g., photocopying; videotaping; translating; preparing exhibits or
17 demonstratives; and/or organizing, storing, retrieving data in any form or medium;
18 etc.); and their employees and subcontractors.

19 **3. SCOPE OF PROTECTION.**

20 The protections conferred by this Stipulation and its associated Order cover
21 not only Protected Material/Confidential Documents (as defined above), but also (1)
22 any information copied or extracted from Protected Material; (2) all copies,
23 excerpts, summaries, or compilations of Protected Material; and (3) any testimony,
24 conversations, or presentations by Parties or their Counsel that might reveal
25 Protected Material. However, the protections conferred by this Stipulation and its
26 associated Order do *not* cover the following information: (a) any information that is
27 in the public domain at the time of disclosure to a Receiving Party or becomes part
28 of the public domain after its disclosure to a Receiving Party as a result of

1 publication not involving a violation of this Order, including becoming part of the
2 public record through trial or otherwise; and (b) any information known to the
3 Receiving Party prior to the disclosure or obtained by the Receiving Party after the
4 disclosure from a source who obtained the information lawfully and under no
5 obligation of confidentiality to the Designating Party.

6 Except to the extent specified herein (if any), any use of Protected Material at
7 trial shall not be governed by this Order, but may be governed by a separate
8 agreement or order.

9 **4. DURATION OF PROTECTION.**

10 Even after final disposition of this litigation, the confidentiality obligations
11 imposed by this Order shall remain in effect until a Designating Party agrees
12 otherwise in writing or a court order otherwise directs.

13 Final disposition shall be deemed to be the later of (1) dismissal of all claims
14 and defenses in this action, with or without prejudice; and (2) final judgment herein
15 after the completion and exhaustion of all appeals, rehearings, remands, trials, or
16 reviews of this action, including the time limits for filing any motions or
17 applications for extension of time pursuant to applicable law.

18 **5. DESIGNATION OF PROTECTED MATERIAL AND/OR**
19 **CONFIDENTIAL DOCUMENTS.**

20 5.1. Exercise of Restraint and Care in Designating Material for Protection.

21 Each Party or non-party that designates information or items for protection
22 under this Stipulation and its associated Order must take care to limit any such
23 designation to specific material that qualifies under the appropriate standards. A
24 Designating Party must take care to designate for protection only those parts of
25 material, documents, items, or oral or written communications that qualify – so that
26 other portions of the material, documents, items or communications for which
27 protection is not warranted are not swept unjustifiably within the ambit of this
28 Order.

1 Mass, indiscriminate, or routine designations are prohibited. Designations that
2 are shown to be clearly unjustified, or that have been made for an improper purpose
3 (e.g., to unnecessarily encumber or retard the case development process, or to
4 impose unnecessary expenses and burdens on other parties), expose the Designating
5 Party to sanctions.

6 If it comes to a Party's or a non-party's attention that information or items
7 that it designated for protection do not qualify for protection at all, or do not qualify
8 for the level of protection initially asserted, that Party or non-party must promptly
9 notify all other parties that it is withdrawing the mistaken designation.

10 5.2. Manner and Timing of Designations. Except as otherwise provided in
11 this Order, or as otherwise stipulated or ordered, material that qualifies for
12 protection under this Order must be clearly so designated before the material is
13 disclosed or produced.

14 Designation in conformity with this Order requires:

15 (a) for information in documentary form (apart from transcripts of
16 depositions or other pretrial or trial proceedings, and regardless of whether produced
17 in hardcopy or electronic form), that the Producing Party affix the legend
18 "CONFIDENTIAL" to each page that contains Protected Material. If only a portion
19 or portions of the material on a page qualifies for protection, the Producing Party
20 also must clearly identify the protected portion(s) (e.g., by making appropriate
21 markings in the margins) and must specify, for each portion that it is
22 "CONFIDENTIAL." The placement of such "CONFIDENTIAL" stamp on such
23 page(s) shall not obstruct the substance of the page's (or pages') text or content.

24 A Party or Non-Party that makes original documents or materials available for
25 inspection need not designate them for protection until after the inspecting Party has
26 indicated which material it would like copied and produced. During the inspection
27 and before the designation, all of the material made available for inspection shall be
28 deemed "CONFIDENTIAL." After the inspecting Party has identified the

1 documents it wants copied and produced, the Producing Party must determine which
2 documents, or portions thereof, qualify for protection under this Order. Then, before
3 producing the specified documents, the Producing Party must affix the
4 “CONFIDENTIAL” legend to each page that contains Protected Material. If only a
5 portion or portions of the material on a page qualifies for protection, the Producing
6 Party also must clearly identify the protected portion(s) (e.g., by making appropriate
7 markings in the margins).

8 (b) for testimony given in deposition or in other pretrial or trial
9 proceedings, that the Party or non-party offering or sponsoring the testimony
10 identify on the record, before the close of the deposition, hearing, or other
11 proceeding, all protected testimony, and further specify any portions of the
12 testimony that qualify as “CONFIDENTIAL.” When it is impractical to identify
13 separately each portion of testimony that is entitled to protection, and when it
14 appears that substantial portions of the testimony may qualify for protection, the
15 Producing Party may invoke on the record (before the deposition or proceeding is
16 concluded) a right to have up to twenty (20) days to identify the specific portions of
17 the testimony as “CONFIDENTIAL.” Only those portions of the testimony that are
18 appropriately designated as “CONFIDENTIAL” for protection within the 20 days
19 shall be covered by the provisions of this Stipulation and its associated Protective
20 Order.

21 The court reporter must affix to each such transcript page containing
22 Protected Material the legend “CONFIDENTIAL,” as instructed by the Producing
23 Party.

24 (c) for information produced in some form other than documentary, and for
25 any other tangible items (including but not limited to information produced on disc
26 or electronic data storage device), that the Producing Party affix in a prominent
27 place on the exterior of the container or containers in which the information or item
28 is stored the legend “CONFIDENTIAL.” If only portions of the information or item

1 warrant protection, the Producing Party, to the extent practicable, shall identify the
2 protected portions, specifying the material as “CONFIDENTIAL.”

3 5.3. Inadvertent Failures to Designate. If timely corrected (preferably,
4 though not necessarily, within 30 days of production or disclosure of such material),
5 an inadvertent failure to designate qualified information or items as
6 “CONFIDENTIAL” does not, standing alone, waive the Designating Party’s right to
7 secure protection under this Stipulation and its associated Order for such material.

8 If material is appropriately designated as “CONFIDENTIAL” *after* the
9 material was initially produced, the Receiving Party, on timely notification of the
10 designation, must make reasonable efforts to assure that the material is treated in
11 accordance with this Stipulation and its associated Order.

12 5.4. Alteration of Confidentiality Stamp Prohibited. A Receiving Party shall
13 not alter, edit, or modify any Protected Material so as to conceal, obscure, or remove
14 a “CONFIDENTIAL” stamp or legend thereon; nor shall a Receiving Party take any
15 other action so as to make it appear that Protected Material is not subject to the
16 terms and provisions of this Stipulation and its associated Order. However, nothing
17 in this section shall be construed so as to prevent a Receiving Party from
18 challenging a confidentiality designation subject to the provisions of section 6, *infra*.

19 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS.**

20 6.1. Timing of Challenges. Any Party or Non-Party may challenge a
21 designation of confidentiality at any time prior to the final pre-trial conference with
22 the Court in the matter. Unless a prompt challenge to a Designating Party’s
23 confidentiality designation is necessary to avoid foreseeable substantial unfairness,
24 unnecessary economic burdens, or a later significant disruption or delay of the
25 litigation, a Party does not waive its right to challenge a confidentiality designation
26 by electing not to mount a challenge promptly after the original designation is
27 disclosed.

28 6.2. Meet and Confer. Prior to challenging a confidentiality designation, a

1 Challenging Party shall initiate a dispute resolution process by providing written
2 notice of each specific designation it is challenging, and describing the basis (and
3 supporting authority or argument) for each challenge. To avoid ambiguity as to
4 whether a challenge has been made, the written notice must recite that the challenge
5 to confidentiality is being made in accordance with this specific paragraph of the
6 associated Protective Order. The parties shall attempt to resolve each challenge in
7 good faith and must begin the process by conferring directly (in voice to voice
8 dialogue, either in person, telephonically, or by other comparable means, but *not* by
9 correspondence) within 14 days of the date of service of notice.

10 In conferring, the Challenging Party must explain the specific basis for its
11 belief that the confidentiality designation was not proper and must give the
12 Designating Party an opportunity to review the designated material, to reconsider
13 the circumstances, and, if no change in designation is offered, to explain the basis
14 for the chosen designation. A Challenging Party may proceed to the next stage of
15 the challenge process only if it has engaged in this meet and confer process first or
16 establishes that the Designating Party is unwilling to participate in the meet and
17 confer process in a timely manner.

18 6.3. Judicial Intervention. If the Parties cannot resolve a confidentiality
19 challenge without court intervention, the Challenging Party shall file and serve a
20 motion to remove confidentiality (under the applicable rules for filing and service of
21 discovery motions) within 14 days of the parties agreeing that the meet and confer
22 process will not resolve their dispute, or by the first day of trial of this matter,
23 whichever date is earlier – unless the parties agree in writing to a longer time.

24 The parties must strictly comply with Eastern District Local Rules 230 and
25 251 (including the joint statement regarding discovery dispute requirement) in any
26 motion associated with this Protective Order.

27 Each such motion must be accompanied by a competent declaration affirming
28 that the movant has complied with the meet and confer requirements imposed in the

1 preceding paragraph. In addition, the Challenging Party may file a motion
2 challenging a confidentiality designation at any time if there is good cause for doing
3 so, including a challenge to the designation of a deposition transcript or any portions
4 thereof. Any motion brought pursuant to this provision must be accompanied by a
5 competent declaration affirming that the movant has complied with the meet and
6 confer requirements imposed by the preceding paragraph.

7 The burden of persuasion in any such challenge proceeding shall be on the
8 Designating Party, regardless of whether the Designating Party is the moving party
9 or whether such Party sought or opposes judicial intervention. Frivolous challenges,
10 and those made for an improper purpose (e.g., to harass or impose unnecessary
11 expenses and burdens on other parties) may expose the Challenging Party to
12 sanctions. Unless the Designating Party has waived the confidentiality designation
13 by failing to oppose a motion to remove confidentiality as described above, all
14 parties shall continue to afford the material in question the level of protection to
15 which it is entitled under the Producing Party's designation until the court rules on
16 the challenge.

17 6.4. Withdrawal of "CONFIDENTIAL" Designation. At its discretion, a
18 Designating Party may remove Protected Material/Confidential Documents from
19 some or all of the protections and provisions of this Stipulation and its associated
20 Order at any time by any of the following methods:

21 (a) Express Written Withdrawal. A Designating Party may withdraw a
22 "CONFIDENTIAL" designation made to any specified Protected Material/
23 Confidential Documents from some or all of the protections of this Stipulation and
24 its associated Order by an express withdrawal in a writing signed by such Party (or
25 such Party's Counsel, but not including staff of such Counsel) that specifies and
26 itemizes the Disclosure or Discovery Material previously designated as Protected
27 Material/Confidential Documents that shall no longer be subject to all or some of
28 the provisions of this Stipulation and Order. Such express withdrawal shall be

1 effective when transmitted or served upon the Receiving Party. If a Designating
2 Party is withdrawing Protected Material from only some of the provisions/
3 protections of this Stipulation and Order, such Party must state which specific
4 provisions are no longer to be enforced as to the specified material for which
5 confidentiality protection hereunder is withdrawn: otherwise, such withdrawal shall
6 be construed as a withdrawal of such material from all of the protections/provisions
7 of this Stipulation and Order;

8 (b) Express Withdrawal on the Record. A Designating Party may withdraw
9 a “CONFIDENTIAL” designation made to any specified Protected
10 Material/Confidential Documents from all of the provisions/protections of this
11 Stipulation and its associated Order by verbally consenting in court proceedings on
12 the record to such withdrawal – provided that such withdrawal specifies the
13 Disclosure or Discovery Material previously designated as Protected Material/
14 Confidential Documents that shall no longer be subject to any of the provisions of
15 this Stipulation and Order. A Designating Party is not permitted to withdraw
16 Protected Material from only some of the protections/provisions of this Stipulation
17 and Order by this method;

18 (c) Implicit Withdrawal by Publication or Failure to Oppose Challenge. A
19 Designating Party shall be construed to have withdrawn a “CONFIDENTIAL”
20 designation made to any specified Protected Material/Confidential Documents from
21 all of the provisions/protections of this Stipulation and Order by either (1) making
22 such Protected Material/Confidential Records part of the public record – including
23 but not limited to attaching such as exhibits to any filing with the court without
24 moving, prior to such filing, for the court to seal such records; or (2) failing to
25 timely oppose a Challenging Party’s motion to remove a “CONFIDENTIAL”
26 designation to specified Protected Material/Confidential Documents. Nothing in this
27 Stipulation and Order shall be construed so as to require any Party to file Protected
28 Material/Confidential Documents under seal, unless expressly specified herein.

7. ACCESS TO AND USE OF PROTECTED MATERIAL.

7.1. Basic Principles. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a non-party in connection with this case only for preparing, prosecuting, defending, or attempting to settle this litigation – up to and including final disposition of the above-entitled action – and not for any other purpose, including any other litigation or dispute outside the scope of this action. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Stipulation and its associated Order. When the above entitled litigation has been terminated, a Receiving Party must comply with the provisions of section 11, below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Stipulation and its Order.

7.2. Disclosure of “CONFIDENTIAL” Information or Items. Unless otherwise ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated CONFIDENTIAL only to:

(a) the Receiving Party’s Outside Counsel of record in this action, as well as employees of such Counsel to whom it is reasonably necessary to disclose the information for this litigation;

(b) the officers, directors, and employees (including House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation – each of whom, by accepting receipt of such Protected Material, thereby agree to be bound by this Stipulation and Order;

(c) Experts (as defined in this Stipulation and Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation – each of whom, by accepting receipt of such Protected Material, thereby agree to be bound by this Stipulation and Order;

1 (d) court reporters, their staffs, and Professional Vendors to whom
2 disclosure is reasonably necessary for this litigation – each of whom, by accepting
3 receipt of such Protected Material, thereby agree to be bound by this Stipulation and
4 Order;

5 (e) during their depositions, witnesses in the action to whom disclosure is
6 reasonably necessary – each of whom, by accepting receipt of such Protected
7 Material, thereby agree to be bound by this Stipulation and Order. Pages of
8 transcribed deposition testimony or exhibits to depositions that reveal Protected
9 Material must be separately bound by the court reporter and may not be disclosed to
10 anyone except as permitted under this Stipulation and its Protective Order.

11 (f) the author or custodian of a document containing the information that
12 constitutes Protected Material, or other person who otherwise possessed or knew the
13 information.

14 7.3. Notice of Confidentiality. Prior to producing or disclosing Protected
15 Material/Confidential Documents to persons to whom this Stipulation and its Order
16 permits disclosure or production (see section 7.2, *supra*), a Receiving Party shall
17 provide a copy of this Stipulation and Order to such persons so as to put such
18 persons on notice as to the restrictions imposed upon them herein: except that, for
19 court reporters, Professional Vendors, and for witnesses being provided with
20 Protected Material during a deposition, it shall be sufficient notice for Counsel for
21 the Receiving Party to give the witness a verbal admonition (on the record, for
22 witnesses) regarding the provisions of this Stipulation and its Order and such
23 provisions’ applicability to specified Protected Material at issue.

24 7.4. Reservation of Rights. Nothing in this Stipulation and Order shall be
25 construed so as to require any Producing Party to designate any records or materials
26 as “CONFIDENTIAL.” Nothing in this Stipulation and Order shall be construed so
27 as to prevent the admission of Protected Material into evidence at the trial of this
28 action, or in any appellate proceedings for this action, solely on the basis that such

1 Disclosure or Discovery Material has been designated as Protected Material/
2 Confidential Documents. Notwithstanding the foregoing, nothing in this Stipulation
3 and Order shall be construed as a waiver of any privileges or of any rights to object
4 to the use or admission into evidence of any Protected Material in any proceeding;
5 nor shall anything herein be construed as a concession that any privileges asserted or
6 objections made are valid or applicable. Nothing in this Stipulation and Order shall
7 be construed so as to prevent the Designating Party (or its Counsel or custodian of
8 records) from having access to and using Protected Material designated by that Party
9 in the manner in which such persons or entities would typically use such materials in
10 the normal course of their duties or profession – except that the waiver of
11 confidentiality provisions shall apply (see section 6.4(c), *supra*).

12 7.5. Requirement to File Confidential Documents Under Seal. Confidential
13 Documents may be submitted in all law and motion proceedings before the Court if
14 done so under seal pursuant to Federal Rules of Civil Procedure Rules 5.2 and 26
15 and/or United States District Court, Eastern District of California Local Rules 141,
16 141.1, 143, and 251 (as applicable) and pursuant to the provisions of this Stipulation
17 and any associated Order. If any Receiving Party attaches any Confidential
18 Documents to any pleading, motion, or other paper to be filed, lodged, or otherwise
19 submitted to the Court, such Confidential Document(s) shall be filed/lodged under
20 seal pursuant to Federal Rules of Civil Procedure Rules 5.2 and 26 and/or United
21 States District Court, Eastern District of California Local Rules 141, 141.1, 143, and
22 251 to the extent applicable.

23 However, this paragraph (§ 7.5) shall not be construed so as to prevent a
24 Designating Party or counsel from submitting, filing, lodging, or publishing any
25 document it has previously designated as a Confidential Document without
26 compliance with this paragraph's requirement to do so under seal (i.e., a producing-
27 disclosing party or counsel may submit or publish its own Confidential Documents
28 without being in violation of the terms of this Stipulation and its Protective Order).

Furthermore, a Receiving Party shall be exempted from the requirements of this paragraph as to any specifically identified Confidential Document(s) where – prior to the submission or publication of the Confidential Document(s) at issue – the Designating Party of such specifically identified Confidential Document(s) has waived/withdrawn the protections of this Stipulation and its Order (pursuant to paragraph 6.4, *supra*).

A Receiving Party shall also be exempt from the sealing requirements of this paragraph (§ 7.5) where the Confidential Documents/Protected Material at issue is/are **not** documents, records, or information regarding or incorporating:

(1) private, personal information contained in peace officer personnel files (such as social security numbers, driver's license numbers or comparable personal government identification numbers, residential addresses, compensation or pension or personal property information, credit card numbers or credit information, dates of birth, tax records and information, information related to the identity of an officer's family members or co-residents, and comparable personal information about the officer or his family);

(2) any internal affairs or comparable investigation by any law enforcement agency into alleged officer misconduct; and/or

(3) the medical records or records of psychiatric or psychological treatment of any peace officer or party to this action.

Nothing in this paragraph shall be construed to bind the Court or its authorized staff so as to limit or prevent the publication of any Confidential Documents to the jury or factfinder, at the time of trial of this matter, where the Court has deemed such Confidential Documents to be admissible into evidence.

8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION.

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as

1 “CONFIDENTIAL,” that Party must:

2 (a) promptly notify in writing the Designating Party, preferably (though not
3 necessarily) by facsimile or electronic mail. Such notification shall include a copy of
4 the subpoena or court order at issue;

5 (b) promptly notify in writing the party who caused the subpoena or order to
6 issue in the other litigation that some or all of the material covered by the subpoena
7 or order is subject to this Stipulation and its Protective Order. Such notification shall
8 include a copy of this Stipulation and its Protective Order; and

9 (c) cooperate with respect to all reasonable procedures sought to be pursued
10 by all sides in any such situation, while adhering to the terms of this Stipulation and
11 its Order.

12 If the Designating Party timely seeks a protective order, the Party served with
13 the subpoena or court order shall not produce any information designated in this
14 action as “CONFIDENTIAL” before a determination by the court from which the
15 subpoena or order issued, unless the Party has obtained the Designating Party’s
16 permission. The Designating Party shall bear the burden and expense of seeking
17 protection in that court of its confidential material – and nothing in these provisions
18 should be construed as authorizing or encouraging a Receiving Party in this action
19 to disobey a lawful directive from another court.

20 The purpose of this section is to ensure that the affected Party has a
21 meaningful opportunity to preserve its confidentiality interests in the court from
22 which the subpoena or court order issued.

23 **9. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL.**

24 9.1. Unauthorized Disclosure of Protected Material.

25 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed
26 Protected Material to any person or in any circumstance not authorized under this
27 Stipulation and Order, the Receiving Party must immediately:

28 (a) notify in writing the Designating Party of the unauthorized disclosures;

(b) use its best efforts to retrieve all copies of the Protected Material;

(c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order; and

(d) request such person or persons consent to be bound by the Stipulation and Order.

9.2. Inadvertent Production of Privileged or Otherwise Protected Material.

When a Producing Party gives notice to Receiving Parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are those set forth in Federal Rule of Civil Procedure Rule 26(b)(5)(B). This provision is not intended to modify whatever procedure may be established in an e-discovery order that provides for production without prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a communication or information covered by the attorney-client privilege or work product protection, the parties may incorporate their agreement in the stipulated protective order submitted to the court.

10. PUBLICATION OF PROTECTED MATERIAL PROHIBITED.

10.1. Filing of Protected Material.

Without advance written permission from the Designating Party, or a court order secured after appropriate notice to all interested persons, a Receiving Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with the applicable Federal and Local Rules.

10.2. Public Dissemination of Protected Material.

A Receiving Party shall not publish, release, post, or disseminate Protected Material to any persons except those specifically delineated and authorized by this Stipulation and its Order (see section 7, *supra*); nor shall a Receiving Party publish, release, leak, post, or disseminate Protected Material/Confidential Documents to any

1 news media, member of the press, website, or public forum (except as permitted
2 under section 10.1 regarding filings with the court in this action and under seal).

3 **11. FINAL DISPOSITION.**

4 Unless otherwise ordered or agreed in writing by the Producing Party, within
5 thirty (30) days after the final termination of this action (defined as the dismissal or
6 entry of judgment by the above named court, or if an appeal is filed, the disposition
7 of the appeal), upon written request by the Producing Party, each Receiving Party
8 must return all Protected Material to the Producing Party – whether retained by the
9 Receiving Party or its Counsel, Experts, Professional Vendors, agents, or any non-
10 party to whom the Receiving Party produced or shared such records or information.

11 As used in this subdivision, “all Protected Material” includes all copies,
12 abstracts, compilations, summaries or any other form of reproducing or capturing
13 any of the Protected Material, regardless of the medium (hardcopy, electronic, or
14 otherwise) in which such Protected Material is stored or retained.

15 In the alternative, at the discretion of the Receiving Party, the Receiving Party
16 may destroy some or all of the Protected Material instead of returning it – unless
17 such Protected Material is an original, in which case, the Receiving Party must
18 obtain the Producing Party’s written consent before destroying such original
19 Protected Material.

20 Whether the Protected Material is returned or destroyed, the Receiving Party
21 must submit a written certification to the Producing Party (and, if not the same
22 person or entity, to the Designating Party) within thirty (30) days of the
23 aforementioned written request by the Designating Party that specifically identifies
24 (by category, where appropriate) all the Protected Material that was returned or
25 destroyed and that affirms that the Receiving Party has not retained any copies,
26 abstracts, compilations, summaries or other forms of reproducing or capturing any
27 of the Protected material (in any medium, including but not limited to any hardcopy,
28 electronic or digital copy, or otherwise).

1 Notwithstanding this provision, Counsel are entitled to retain an archival copy
2 of all pleadings, motion papers, transcripts, legal memoranda filed with the court in
3 this action, as well as any correspondence or attorney work product prepared by
4 Counsel for the Receiving Party, even if such materials contain Protected Material;
5 however, any such archival copies that contain or constitute Protected Material
6 remain subject to this Protective Order as set forth in Section 4 (DURATION),
7 above. This court shall retain jurisdiction in the event that a Designating Party elects
8 to seek court sanctions for violation of this Stipulation and its Order.

9 **12. MISCELLANEOUS.**

10 12.1. Right to Further Relief. Nothing in this Stipulation and its Order
11 abridges the right of any person to seek its modification by the Court in the future.

12 12.2. Right to Assert Other Objections. By stipulating to the entry of a
13 Protective Order pursuant to this Stipulation, no Party waives any right it otherwise
14 would have to object to disclosing or producing any information or item on any
15 ground not addressed in this Stipulation and its Order. Similarly, no Party waives
16 any right to object on any ground to use in evidence any of the material covered by
17 this Stipulation and its Protective Order.

18 12.3. This Stipulation may be signed in counterpart and a facsimile or
19 electronic signature shall be as valid as an original signature.

20 **IT IS SO STIPULATED.**

1 DATED: January 27, 2024

**MANNING & KASS
ELLROD, RAMIREZ, TRESTER LLP**

2
3 By: /s/ Maya Sorensen

4 Mildred K. O'Linn

Lynn Carpenter

5 Maya Sorensen

6 Attorneys for Defendants, CITY OF

FRESNO; UNKNOWN LAW

7 ENFORCEMENT OFFICERS, and

8 POLICE CHIEF PACO BALDERRAMA

9
10 DATED: January 24, 2025

LAW OFFICE OF KEVIN G. LITTLE

11
12 By: /s/ Michelle Tostedrude

13 Kevin G. Little, Esq.

14 Michelle Tostenrude, Esq.

15 Attorneys for Plaintiff, MARTHA

ZEPEDA OLIVARES, individually and on

16 behalf of the ESTATE OF

17 MAXIMILIANO SOSA, JR.;

18 MAXIMILIANO SOSA, SR

19 DATED: January 24, 2025

Respectfully submitted,

20 **LAW OFFICE OF DALE GALIPO**

21 By: /s/ Cooper Alison-Mayne

22 Dale K. Galipo

23 Cooper Alison-Mayne

24 Attorneys for plaintiffs Maria Sosa, L.S.,

25 and M.S.